

1. COST ACCOUNTING STANDARDS NOTICES AND CERTIFICATION (Provision J-13.1) (August 2022)

Any proposal submitted in excess of the lower CAS threshold specified in FAR 30.201-4(B) on the date of subcontract award in response to this solicitation must include completion of the "Cost Accounting Standards Notices and Certification". If the Offeror completes the certification below to indicate concurrent submission of its disclosure statement, the statement must be submitted with the proposal on Form CASB-DS-1 or Form CASB-DS-2, whichever applies.

If applicable under 48 CFR Chapter 99, Cost Accounting Standards (CAS) provisions will be incorporated into any order resulting from this solicitation. Federal Acquisition Regulation (FAR), 52.230-2 Cost Accounting Standards (JUN 2020), will be incorporated if the order is subject to "full" CAS coverage; 52.230-3 -- Disclosure and Consistency of Cost Accounting Practices. (JUN 2020), will be incorporated if the order is subject to "modified" CAS coverage; or FAR Clause 52.230-5, Cost Accounting Standards--Educational Institution (JUN 2020), will be incorporated if the order is subject to Educational Institution CAS Coverage. Additionally, FAR Clause 52.230-6, Administration of Cost Accounting Standards (June 2010), will be incorporated into any order subject to any of the above types of CAS coverages.

COST ACCOUNTING STANDARDS NOTICES AND CERTIFICATION (JUN 2020)

INSTRUCTIONS: This notice is in three parts, identified by Roman numerals I through III. Offerors shall examine each part and provide the requested information in order to determine Cost Accounting Standards (CAS) requirements applicable to any resultant purchase order/contract.

NOTE: *These Cost Accounting Standards Notices and Certifications DO NOT apply to small businesses or foreign governments. If the offeror is an educational institution, Part II does not apply unless the contemplated purchase order/contract will be subject to full or modified CAS-coverage pursuant to 48 CFR 9903.201-2(c) (5) or 9903.201-2(c)(6).*

The certifications on this form are made by _____
(Insert Name and Title)
an authorized employee of _____, on _____
(Insert Company Name) (Insert Date)
in response to Buyer's Request for Proposal No. _____
(Insert RFP Number)

(Signature)

I. DISCLOSURE STATEMENT-COST ACCOUNTING PRACTICES AND CERTIFICATIONS

- (a) Any purchase order/contract in excess of the lower CAS threshold specified in FAR 30.201-4(B) on the date of subcontract award resulting from this solicitation, will be subject to the requirements of the Cost Accounting Standards Board (48 CFR Chapter 99), except for those purchase orders/contracts which are exempt as specified in 48 CFR Subpart 9903.201-1.
- (b) Any offeror submitting a proposal which, if accepted, will result in a purchase order/contract subject to the requirements of 48 CFR Chapter 99, must, as a condition of contracting, submit a Disclosure Statement as required by 48 CFR Subpart 9903.202. When required, the Disclosure Statement must be submitted as a part of the offeror's proposal under this solicitation unless the offeror has already submitted a Disclosure Statement disclosing the practices used in connection with the pricing of this proposal. If an applicable Disclosure Statement has already been submitted, the offeror may satisfy the requirement for submission by providing the information requested in paragraph (c) of Part I of this provision.

CAUTION: In the absence of specific regulations or agreement, a practice disclosed in a Disclosure Statement shall not, by virtue of such disclosure, be deemed to be a proper, approved, or agreed-

to-practice for pricing proposals or accumulating and reporting purchase order/contract performance cost data.

(c) Check the appropriate box below - either box (1), (2), (3), (4) or (5):

(1) **CERTIFICATE OF CONCURRENT SUBMISSION OF DISCLOSURE STATEMENT.**

The offeror hereby certifies that, as a part of the offer, copies of the Disclosure Statement have been submitted as follows: (i) Original and one copy to the Buyer or cognizant Federal agency official authorized to act in that capacity, as applicable, and (ii) one copy to the cognizant Federal auditor.

(Disclosure must be on Form No. CASB DS-1 or CASB DS-2, as applicable. Forms may be obtained from the Buyer. If the offeror considers its Disclosure Statement privileged or confidential, the offeror may submit the Disclosure Statement to: Contracting Officer, Kansas City Field Office, National Nuclear Security Administration, 14520 Botts Road, Kansas City, MO 64147-1302.)

Date of Disclosure Statement: _____

Name and Address of Buyer or Federal Official where filed:

Check and complete one of the following, indicating whether Disclosure Statement is attached, or has been submitted to the Contracting Officer.)

Disclosure Statement is attached hereto.

Disclosure Statement submitted to above Contracting Officer on _____.

The offeror further certifies that practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the Disclosure Statement.

(2) **CERTIFICATE OF PREVIOUSLY SUBMITTED DISCLOSURE STATEMENT.**

The offeror hereby certifies that Disclosure Statement was filed as follows:

Date of Disclosure Statement: _____

Name and Address of Buyer or Federal Official where filed:

The offeror further certifies that the practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the applicable Disclosure Statement.

(3) **CERTIFICATE OF MONETARY EXEMPTION.**

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The offeror, hereby certifies that the offeror, together with all divisions, subsidiaries, and affiliates under common control, did not receive net awards of negotiated prime contracts and subcontracts subject to CAS totaling \$50 million or more in the cost accounting period immediately preceding the period in which this proposal was submitted.

The offeror further certifies that if such status changes before an award resulting from this proposal, the offeror will advise the Buyer immediately.

(4) **CERTIFICATE OF INTERIM EXEMPTION.**

The offeror hereby certifies that (i) the offeror first exceeded the monetary exemption for disclosure, as defined in (3) above, in the cost accounting period immediately preceding the period in which this offer was submitted and (ii) in accordance with 48 CFR Subpart 9903.202-1, the offeror is not yet required to submit a Disclosure Statement. The offeror further certifies that if an award resulting from this proposal has not been made within 90 days after the end of that period, the offeror will immediately submit a revised certificate to the Buyer, in the form specified under subparagraphs (c)(1) or (c)(2) of Part I of this provision, as appropriate, to verify submission of a completed Disclosure Statement.

CAUTION: Offerors currently required to disclose because they were awarded a CAS-covered prime contract or subcontract of \$50 million or more in the current cost accounting period may not claim this exemption (4). Further, the exemption applies only in connection with proposals submitted before expiration of the 90-day period following the cost accounting period in which the monetary exemption was exceeded.

II. COST ACCOUNTING STANDARDS - ELIGIBILITY FOR MODIFIED CONTRACT COVERAGE.

If the offeror is eligible to use the modified provisions of 48 CFR Subpart 9903.201-2(b) and elects to do so, the offeror shall indicate by checking the box below. Checking the box below shall mean that the resultant purchase order/contract is subject to the Disclosure and Consistency of Cost Accounting Practices clause in lieu of the Cost Accounting Standards clause.

The offeror hereby claims an exemption from the Cost Accounting Standards clause under the provisions of 48 CFR Subpart 9903.201-2(b) and certifies that the offeror is eligible for use of the Disclosure and Consistency of Cost Accounting Practices clause because during the cost accounting period immediately preceding the period in which this proposal was submitted, the offeror received less than \$50 million in awards of CAS-covered prime contracts and subcontracts. The offeror further certifies that if such status changes before an award resulting from this proposal, the offeror will advise the Buyer immediately.

CAUTION: An offeror may not claim the above eligibility for modified order coverage if this proposal is expected to result in the award of a CAS-covered purchase order/contract of \$50 million or more or if, during its current cost accounting period, the offeror has been awarded a single CAS-covered prime contract or subcontract of \$50 million or more.

III. ADDITIONAL COST ACCOUNTING STANDARDS APPLICABLE TO EXISTING CONTRACTS.

The offeror shall indicate below whether award of the contemplated purchase order/contract would, in accordance with subparagraph (a)(3) of the Cost Accounting Standards clause, require a change in established cost accounting practices affecting existing contracts and subcontracts.

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YES NO